



Disclosure Statement (Financial Adviser)

Name of financial adviser: Neil Rundle
Address: Level 9
Southern Cross Building
Cnr High & Victoria Streets
Auckland
Trading name: Mainstay Financial Services Limited
Telephone number: 09 307 7078
Fax number: 09 307 7080
Email address: go@mainstaynz.com

This disclosure statement was prepared on 1 July 2011.

It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

What sort of adviser am I?

I am a registered, but not authorised, financial adviser.

I can give you advice about: death, trauma and disability insurance for business and personal needs with particular expertise in the area of Shareholder Succession Agreements and related cover.

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell me so that I can try to fix the problem. We have 40 days to respond to your complaint. If we cannot agree on how to fix the issue, you can contact Financial Services Complaints Limited (FSCL), a scheme approved by the Ministry of Consumer Affairs. This service will cost you nothing, and will help us resolve any disagreements. You can contact FISCL at:

Address: PO Box 5967
Lambton Quay
Wellington 6145
Telephone number: 0800 347 257
Email address: info@fscl.org.nz
Website: <http://www.fscl.org.nz>

How am I regulated by the Government?

You can check that I am a registered financial adviser at <http://www.fspr.govt.nz>.

The Securities Commission regulates financial advisers. Contact the Securities

Commission for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Securities Commission, but in the event of a disagreement you may choose to first use the dispute resolution procedures described above (under **What should you do if something goes wrong?**)

Declaration

I, Neil Kenneth Rundle, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.



Signed: _____